

November 15, 2021

By ECF

The Honorable P. Kevin Castel Daniel Patrick Moynihan United States Courthouse 500 Pearl Street, Courtroom 11D New York, NY 10007 The deadline for filings are extended by 14 days. The November 23 hearing is adjourned to a date to be set after hearing further from the parties in 14 days.

SO ORDERED.

Dated: New York, New York November 15, 2021

Re: SEC v. Gallagher, No. 1:21-cv-08739-PKC

P. Kevin Castel United States District Judge

Dear Judge Castel:

I write on behalf of Defendant Steven M. Gallagher, and with the consent of Plaintiff Securities and Exchange Commission (SEC), to request that the show cause hearing currently scheduled for November 23, 2021 (*see* Dkt. No. 15) be adjourned for two weeks, until December 7, 2021. Consistent with that adjournment, I further request that Defendant's opposing papers to the Order to Show Cause be filed no later than 5:00 pm on December 2, 2021, and Plaintiff's reply papers be filed no later than 5:00 pm on December 6, 2021. The October 26, 2021 Order (Dkt. No. 11) would otherwise remain in effect during this adjournment.

In support of this letter requesting adjournment, the defense represents that we are in active negotiations with the SEC regarding the show cause hearing and the temporary restraining order, and that the parties, given more time, may reach a resolution that would negate the need for a hearing.

This is Mr. Gallagher's second prior adjournment request. The first request for a two-week adjournment was filed on October 29, 2021 (*see* Dkt. No. 13), and was granted by this Court (Dkt. No. 15).

We thank the Court for its attention to this matter.

Respectfully submitted,

/s/ Eric Rosen

Eric Rosen ROCHE FREEDMAN LLP 99 Park Avenue, Suite 1910 New York, New York 10016 (617) 977-4163 erosen@rochefreedman.com

CC: Counsel of Record